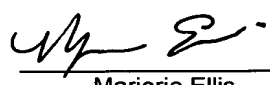


PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Yvonne LICHTÉ, et al.	Practitioner's Docket No. PAT-00376
Serial No.: This application is a National Phase application of PCT/EP2003/010791 filed 29. September 2003.	Group Art Unit: Not Assigned
Filed:	Examiner: Not Assigned
For: COATING MATERIAL WHICH IS THERMALLY CURABLE AND CURABLE BY MEANS OF ACTINIC RADIATION AND METRHOD FOR COATING MICROPOROUS SURFACES	<div style="border: 1px solid black; padding: 5px;"> <u>EXPRESS MAIL CERTIFICATE</u> I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ER 193 000 309 US addressed to BOX PCT, Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450 on the date shown below. <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="text-align: center;"> 4/6/05 _____ Date </div> <div style="text-align: center;">  _____ Marjorie Ellis </div> </div> </div>

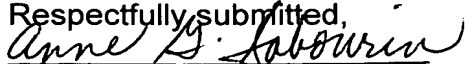
BOX PCT
Commissioner for Patents
PO Box 1450

DISCUSSION OF CITED ART

The applications listed below are copending with each other. The art cited to date is believed to be all of the art that was discussed in the background of each application, cited in a search report for a corresponding application for each application, and/or cited in an office action for each application.

Serial No.	Filing Date	Inventors	Docket No.	Examiner Assigned
09/926,532	11-16-01	Meisenburg et al.	IN-5538	Susan Berman
09/940,748	08-28-01	Bradford et al.	IN-5475	Susan Berman
09/941,118	08-28-01	Bradford et al.	IN-5518	Susan Berman
09/941,283	08/28/01	Bradford et al.	IN-5520	Susan Berman
09/941,295	08-28-01	Bradford et al.	IN-5519	
10/009,394	11-01-02	Allard et al.	IN-5531	Erma C. Cameron
10/018,106	10-30-01	Allard et al.	IN-5528	Sanza L. McClendon
10/454,056	06-04-03	Balch et al.	IN-5674	Susan Berman
10/470,863	07-31-03	Baumgart et al.	IN-5704	Unassigned

Should the Examiner have any questions about the above remarks, the undersigned attorney would welcome a telephone call.


Respectfully submitted,

 Anne Gerry Sabourin, Esq. (Reg. No. 33,772)
 (248) 948-2021
 BASF Corporation
 26701 Telegraph Road
 Southfield, MI 48034-2442
 Date: March 28, 2005

10/531633

3188'd PCT/PTO 06 APR 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Yvonne LICHTÉ and Heinrich WONNEMANN	Practitioner's Docket No. PAT-00376
Serial No.: This application is a National Phase application of PCT/EP2003/010791 filed 29. September 2003.	Group Art Unit: Not Assigned
Filed:	Examiner: Not Assigned
For: COATING MATERIAL WHICH IS THERMALLY CURABLE AND CURABLE BY MEANS OF ACTINIC RADIATION AND METHROD FOR COATING MICROPOROUS SURFACES	EXPRESS MAIL CERTIFICATE I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ER 193 000 309 US addressed to BOX PCT, Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450 on the date shown below. April 6, 2005 _____ Date  _____ Marjorie Ellis

BOX PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

- ☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

COPIES PROVIDED:

- ☒ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except Copies of U.S. patents, U.S. patent application publications, copies of U.S. applications that were filed on or after June 30, 2003, and copies of U.S. applications that were filed before June 30, 2003 that are available in the Image File Wrapper System.

- ☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. _____, filed on _____. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:

- ☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

FOREIGN LANGUAGE DOCUMENTS

- ☐ There are no foreign language documents listed on Form PTO-1449.
- ☒ The following non-English language references were cited in the specification and/or ISR. The English language equivalents/translations for these references are being submitted in substitution for and/or in addition to the non-English references.

Art Cited	Equivalent
DE19716020	6,335,397
DE19924674	6,716,891
DE19930067	6,727,316
DE19930664	6,822,044
DE19930665	6,737,468
WO98/40170	6,333,077
DE10113884	2004/0132843
DE19920799	10/018,106
DE10113884.9 WO 02074872	Translation

- ☒ English language abstract for the following non-English references are enclosed.
DE19920799
- ☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- ☒ Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.
- ☐ A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:
- ☐ A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification:

CERTIFICATION:

- ☒ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:

Information Disclosure Statement
Page 4

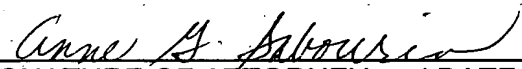
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**
- ☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$180.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **AND**
- ☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$180.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation **Deposit Account No. 23-3425** for any additional fees due hereunder.

Reg. No.: **33,772**

Tel. No.: (**248**) **948-2021**

Customer No. **26922**
Date **April 6, 2005**



SIGNATURE OF ATTORNEY and DATE
Anne Gerry Sabourin

Type or print name of attorney
BASF Corporation
26701 Telegraph Road

P.O. Address
Southfield, Michigan 48034-2442

FORM PTO-1449
(MODIFIED)US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No. PAT-00376

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

In re Application of: Yvonne LICHTÉ, et al.

Serial No.:

Filed: April 5, 2005

U.S. PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2004/0132843	7/8/04	Baumgart, et al.	521	50.5	3/21/02
	AB						
	AC						

FOREIGN PATENT DOCUMENTS**U.S. PATENT DOCUMENTS****OTHER ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

	A1	English Abstract for DE3828098 from EPO, 1990-03-04
	A2	English Abstract for DE4011045 from EPO, 1991-10-09
	A3	English Abstract for DE4020316 from EPO, 1992-01-09
	A4	English Abstract for DE4025215 from EPO, 1992-02-19
	A5	English Abstract for JP06-286008 from EPO, 1994-10-11
	A6	English Abstract for EP0872502 from EPO, 1998-10-21
	A8	English Translation for DE 198 26 715
	AM	Chemical Abstract, Columbus, Ohio, US, XP-002265936, vol. 100 (1989)
	AT	Aaron LOCKHART, Bayer Polymers, Pittsburgh, PA, entitled "UV-Curable Waterborne Polyurethane Dispersions for Sealing Composite Automotive Body Panels", International Coatings for Plastics Symposium, Dated June 9-11, 2003
	A7	USSN 10/018,106 filed 10/30/2001, Application No. DE 19920799, entitled "Coating Material Which is Thermally Curable and Curable With "Actinic" Radiation, and Application of Said Material", April 25, 1999.
	AT	USSN 10/470,863 , filed March 21, 2001, entitled "Coating Microporous Surfaces"
	A7	USSN 09/263,426 filed March 5, 1999, Fritz BARTOL et al.,
	A9	USSN 10/009,394 , filed 10/25/01, Alland et al
	AT	USSN 09/940,748 , filed 08/28/2001, entitled "Dual cure coating composition and processes for using the same", pages 1-40
	AU	USSN 09/941,118 , filed 08/28/2001, entitled "Dual cure coating composition and processes for using the same", pages 1-42
	AV	USSN 09/941,295 , filed 08/28,2001, entitled "Dual cure coating composition and processes for using the same", pages 1-42

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,716,891	04/06/04	Meisenburg, et al.	522	90	5/26/00
	AB	6,822,044	11/23/04	Bremser	525	64	6/30/00
	AC	6,737,468	5/18/04	Bremser	524	547	6/30/00
	AD	6,727,316	4/27/04	Bremser	524		6/28/00

FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATED YES NO	
	AA	CA2 073 115	92.07.03	CA	C08L	075/14	X	
	AB	CA2 079 498	92.09.30	CA	B05D	003/06	X	
	AC	CA2 153 581	94.01.20	CA	C08G	18/67	X	
	AD	DE 197 16 020	22.10.98	DE	C08J	3/03		X
	AE	DE 198 26 715	28.01.99	DE	C07C	69/54	X	
	AF	DE 199 20 799	16.11.00	DE	C09D	175/00		X
	AG	DE 43 02 327	04.08.94	DE	C08G	18/67		X
	AH	DE199 20 801	16.11.00	DE	B05D	7/24		X
	AI	DE38 28 098	08.03.90	DE	C08G	77/58		X
	AJ	DE4011045	10.10.91	DE	B05D	7/02		X
	AK	DE40 20 316	09.01.92	DE	C09D	183/04		X
	AL	DE40 25 215	13.02.92	DE	C08G	59/50		X
	AM	DE10113884	10.10.02	DE	B05D	7/00		X
	AN	DE19924674	30.11.00	DE	C09D	5/00		X
	AO	DE19930067	11.01.01	DE	C09D	133/04		X
	AP	DE19930664	11.01.01	DE	C09D	125/02		X
	AQ	DE19930665	11.01.01	DE	C09D	125/02		X
	AR	EP 0 298 611	10.06.88	EP	C08G	18/67	X	
	AS	EP 0 394 695	29.03.90	EP	C08G	18/42	X	
	AT	EP 0 594 068	15/10.93	EP	C09D	201/02	X	
	AU	EP 0 594 071	15.10.93	EP	C09D	201/02	X	
	AV	EP 0 594 142	20.10.93	EP	C08L	57/12	X	
	AW	EP 0 753 358	04.07.96	EP	B05D	1/00	X	
	AX	EP 0 844 286	23.12.97	EP	C09D	5/03	X	
	AY	EP 0 872 502	19.03.98	EP	C08G	18/68		X
	AZ	EP 0 940 459	24.02.99	EP	C09D	201/00		X
	BA	EP0401892	25.05.90	EP	C09D	167/06	X	
	BD	WO 02/074872	26.09.02	WO	C09D	175/04		X
	BE	WO02/10292	31.07.02	WO	C09D	4/06	X	
	BF	WO 02/34808	02.05.02	WO	C08G	18/67	X	
	BG	WO 94/10211	11.05.94	WO	C08F	8/30	X	
	BH	WO 94/10212	11.05.94	WO	C08F	8/30	X	
	BI	WO 94/10213	11.05.94	WO	C08F	8/30	X	
	BJ	WO98/20047	14.05.98	WO	C98F	2/06	X	
	BL	WO98/40170	17.09.98	WO	B05D	3/06		X
	BK	GB1583412	12.08.77	GB	C08F	226/02	X	

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3

FORM PTO-1449
(MODIFIED)US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No. PAT-00376

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(Use sever of sheets if necessary)

In re Application of: Yvonne LICHTÉ, et al.

Serial No.:

Filed:

U.S. PATENT DOCUMENTS

Examiner Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2003/0083397	5/1/03	Bradford et al.	522	173	8/28/01
	2003/0078316	4/24/03	Bradford et al.	522	126	8/28/01
	2003/0078315	4/24/03	Bradford et al.	522	126	8/28/01
	2003/0077394	4/24/03	Bradford et al.	427	407.1	4/28/01
	2003/0023017	1/30/03	Rink er al.	526	301	1/24/01
	4,013,806	3/22/77	Volkert et al.	427	54	12/4/73
	4,025,407	5/24/77	Chang et al.	204	159.14	11/4/74
	4,128,600	12/5/78	Skinner et al.	260	859	1/14/77
	4,139,385	2/13/79	Crivello	96	35.1	1/14/77
	4,192,762	3/11/80	Osborn et al.	252	182	4/20/78
	4,212,901	7/15/80	van Neerbos et al.	427	53.1	7/10/78
	4,247,578	1/27/81	Skinner et al.	427	44	9/25/78
	4,268,542	5/19/81	Sakakibara et al.	427	195	1/25/79
	4,287,116	9/1/81	Burns	260	37	7/14/80
	4,342,793	8/3/82	Skinner et al.	427	44	9/29/80
	4,377,457	3/22/83	Boeckeler et al.	204	159.16	11/21/80
	4,415,604	11/15/83	Nativi	427	54.1	11/12/82
	4,424,252	1/3/84	Nativi	428	209	1/3/84
	4,481,093	11/6/84	Murphy et al.	204	159.19	9/30/83
	4,526,939	7/2/85	Lewarchik et all.	525	438	7/18/83
	4,532,021	7/30/85	Murphy et al.	204	159	5/7/84
	4,607,084	8/19/86	Morris,	525	454	12/16/85
	4,618,632	10/21/86	Su	522	43	2/7/85
	4,634,602	1/6/87	Sirkoch et al.	427	44	1/2/86
	4,675,234	6/23/87	Sachs et al.	428	328	5/17/85
	4,746,366	5/24/88	Philipp et al.	106	287.19	3/17/86
	4,761,435	8/2/88	Murphy et al.	522	46	10/3/86
	4,786,657	11/22/88	Hammer et al.	522	90	7/2/87
	4,952,612	8/28/90	Brown-Wensley et al.	522	25	8/28/87
	4,985,340	1/15/91	Palazzotto et al.	430	270	6/1/88
	5,013,631	5/7/91	Su	430	271	3/3/89
	5,089,376	2/18/92	Setthachayanon	430	284	6/13/89
	5,153,101	10/6/92	Meire et al.	430	281	6/13/90
	5,234,970	8/10/93	Kyle	522	96	12/20/91

EXAMINER

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*Applicant has modified this form by deleting the word "prior".

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FORM PTO-1449
(MODIFIED)US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No. PAT-00376

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In re Application of: Yvonne LICHTÉ, et al.

Serial No.:

Filed:

U.S. PATENT DOCUMENTS

Examiner Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,326,621	7/5/94	Palazzotto et al.	428	195	8/19/92
	5,356,669	10/18/94	Rehfuss et al.	427	407.1	10/23/92
	5,409,740	4/25/95	Brann	427	513	12/18/92
	5,425,970	6/20/95	Lahrman et al.	427	493	5/6/93
	5,453,451	9/26/95	Sokol	522	42	3/29/93
	5,462,797	10/31/95	Williams et al.	428	345	2/8/94
	5,474,811	12/12/95	Rehfuss et al.	427	407.1	5/11/94
	5,580,614	12/3/96	Amberg-Schwab et al.	427	493	9/7/95
	5,601,878	2/11/97	Kranig et al.	427	386	6/23/93
	5,605,965	2/25/97	Rehfuss et al.	525	100	11/3/94
	5,610,224	3/11/97	DePue et al.	524	538	7/29/96
	5,626,958	5/6/97	D'Herbecourt et al.	428	327	2/1/94
	5,691,419	11/25/97	Engelke et al.	525	208	5/19/92
	5,716,678	2/10/98	Röckrath et al.	427	407.1	3/26/94
	5,922,473	7/13/99	Muthiah et al.	428	481	12/26/96
	5,965,213	10/12/99	Sacharski et al.	427	475	4/4/96
	6,017,640	1/25/00	Muthiah et al.	428	514	4/23/99
	6,159,556	12/12/00	Möller et al.	427	475	2/6/98
	6,177,535	1/23/01	Schwalm et al.	528	49	9/21/98
	6,242,101	6/5/01	Schealm et al.	428	425.8	4/1/99
	6,265,476	7/24/01	Krongauz et al.	524	262	10/4/99
	6,332,291	12/25/01	Flosbach et al.	52	91	4/22/99
	6,333,077	12/25/01	Maag et al.	427	496	3/6/98
	6,335,397	1/1/02	Kokel et al.	524	507	4/2/98
	6,344,501	2/5/02	Sierakowski et al.	523	410	3/31/99
	6,476,121	11/5/02	Kadambande et al.	524	560	10/22/99
	6,482,869	11/19/02	Bolte et al.	522	35	12/1/98
	6,534,187	3/18/03	Kron et al.	428	447	4/27/01

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant has modified this form by deleting the word "prior".